RUNNING HEAD: BARIATRIC SURGERY AND IMPROVED MEMORY

Improved Memory Function Two Years After Bariatric Surgery

Michael L. Alosco, BA¹, Mary Beth Spitznagel, PhD^{1,2}, Gladys Strain PhD³, Michael Devlin MD⁴, Ronald Cohen PhD⁵, Robert Paul PhD⁶, Ross D. Crosby PhD⁷,

James E. Mitchell MD⁷, John Gunstad Ph.D^{1,2}

¹Kent State University, Kent, OH

²Summa Health System, Akron OH

³Weill Cornell Medical College, New York, NY

⁴Columbia University Medical Center, New York, NY

⁵University of Florida Institute on Aging, Gainesville FL

⁶University of Missouri-St. Louis, St. Louis, MO

⁷University of North Dakota School of Medicine and Health Sciences and Neuropsychiatric Research Institute, Fargo, ND

Address correspondence to: John Gunstad, PhD, Department of Psychology, Kent State

University, 238 Kent Hall Addition, Kent, OH 44242, Telephone: 330.672.2399, Fax:

330.672.3786, Email: jgunstad@kent.edu

Keywords: Obesity, bariatric surgery, cognitive function, weight loss

This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process which may lead to differences between this version and the Version of Record. Please cite this article as an 'Accepted Article', doi: 10.1002/oby.20494



What is Already Known?

- Obesity is an independent risk factor for poor neurocognitive outcomes
- Bariatric surgery has recently been shown to provide short-term cognitive benefits
- Mechanisms underlying improved cognitive function following bariatric surgery may involve resolution of medical comorbidities



What this Study Adds?

- Bariatric surgery is associated with long-term improvements in memory relative to obese controls
- The cognitive benefits that emerge shortly after bariatric surgery are sustained up to 24-months
- Post-operative weight loss partly accounts for the cognitive benefits of bariatric surgery,
 though contribution of resolved medical conditions are mixed





Abstract

Objective: Obesity is as an independent risk factor for poor neurocognitive outcomes, including Alzheimer's disease. Bariatric surgery has recently been shown to result in improved memory at 12-weeks post-operatively. However, the long-term effects of bariatric surgery on cognitive function remain unclear.

Design and Methods: 86 individuals (63 bariatric surgery patients, 23 obese controls) were recruited from a prospective study examining the neurocognitive effects of bariatric surgery. All participants completed self-report measurements and a computerized cognitive test battery prior to surgery and at 12-week and 24-month follow-up; obese controls completed measures at equivalent time points.

Results: Bariatric surgery patients exhibited high rates of pre-operative cognitive impairments in attention, executive function, memory, and language. Relative to obese controls, repeated measures ANOVA showed improvements in memory from baseline to 12-weeks and 24-months post-operatively (p < .05). Regression analyses controlling for baseline factors revealed that a lower BMI at 24-months demonstrated a trend toward significance for improved memory ($\beta = .30$, p = .075).

Conclusion: These findings suggest that cognitive benefits of bariatric surgery may extend to 24-months post-operatively. Larger prospective studies with extended follow-up periods are needed to elucidate whether bariatric surgery decreases risk for cognitive decline and possibly the development of dementia.

Obesity

More than one-third of Americans are currently obese and prevalence rates are expected to double in the next 20 years [1,2]. This pattern is concerning, as obesity is associated with increased risk for morbidity and mortality, psychosocial distress, and depression, to name a few [3,4]. Rapidly growing evidence also links obesity with poor neurocognitive outcomes. Indeed, elevated body mass index (BMI) has been identified as an independent risk factor for Alzheimer's disease, vascular dementia, and abnormalities on neuroimaging [5,6]. Obesity has also been linked with milder impairments across multiple domains of cognition prior to the onset of these conditions, including deficits in attention, executive function, and memory [7,8].

Bariatric surgery has become an important treatment option for weight loss among morbidly obese individuals. Bariatric surgery is effective and has been shown to result in lower morbidity and mortality risk [9] and improved health-related quality of life [10,11]. Rates of cognitive dysfunction are elevated among bariatric surgical candidates [12] and increasing attention has been paid to the effects of bariatric surgery on cognitive function. Indeed, weight loss accompanying surgical intervention usually results in the resolution or improvement in comorbid medical conditions that adversely affect cognitive function such as hypertension [13], diabetes [14], sleep apnea [15] and depression [16]. In addition, the documented evidence for the independent effects of obesity on cognitive function [17] suggest that the substantial weight loss alone may be sufficient to improve cognitive function.

In response to these findings, a recent study from our group examined cognitive function in bariatric surgical patients compared to obese controls at baseline and a 12-week post-operative follow-up [12]. The results showed that bariatric surgical patients demonstrated improved

memory at the 12-week follow-up while no changes or declines in cognitive function were found in obese controls. Although these findings suggest that obesity-related cognitive deficits may be partly reversible through surgical intervention, the lasting impact of bariatric surgery on cognitive function remains unclear. The purpose of the current study was to examine the effects of bariatric surgery on cognitive function relative to obese controls at a 12-week and 24-month post-operative follow-up. Because body weight reaches its lowest point at 18-24 months after many bariatric surgery procedures [18], sustained cognitive benefits at 24-months were expected. We also sought to examine predictors of post-operative cognitive changes at 24-months, including BMI and comorbid medical conditions.

Materials and Methods

Trial Design and Participants

A total of 86 participants were recruited into this multi-site prospective study examining the neurocognitive effects of bariatric surgery (63 bariatric surgery patients and 23 obese controls). All participants were part of the Longitudinal Assessment of Bariatric Surgery (LABS) parent project and were recruited from three LABS sites [11]. Individuals participating in the parent project who were eligible for the current study were approached at the time of enrollment regarding this ancillary cognitive study. Greater than 80% of participants approached opted to enroll. The current sample represents those individuals completing 24-month follow-up data and due to attrition the current sample size was reduced to the final sample of 86. Participants excluded as a result of attrition and subsequent missing data across time points were not different from the current sample in terms of age (t(191) = -.55, p = .58), gender $(\chi^2(1, N = 191) = .15, p = .70)$, baseline BMI (t(191) = .95, p = .35), or baseline cognitive function in attention (t(191) = .95, p = .35), or baseline cognitive function in attention (t(191) = .95, p = .35)

1.11, p = .27), executive function (t(191) = .93, p = .35), memory (t(193) = -.23, p = .82), or language (t(191) = -1.57, p = .12).

For study inclusion, participants were required to be enrolled in LABS, between the ages of 20-70, and English-speaking. Exclusion criteria included history of neurological disorder or injury (e.g. dementia, stroke, seizures), moderate or severe head injury (defined as >10 minutes loss of consciousness) [19], past or current history of severe psychiatric illness (e.g. schizophrenia, bipolar disorder), past or current history of alcohol or drug abuse (defined by DSM-IV criteria), history of a learning disorder or developmental disability (defined by DSM-IV criteria), or impaired sensory function that precluded cognitive testing (e.g. visual deficits preventing adequate perception of test stimuli) per participant report or examiner observation. Inclusion/exclusion criteria were the same for the matched controls except obese controls were not enrolled in the LABS project, had not undergone bariatric surgery, and had no reported intent to undergo bariatric surgery following 2 years. Physician diagnosed medical history pre- and post surgery was obtained via medical record review from the LABS study as well as participant self-report. Within the sample, almost all patients underwent Roux-en-Y gastric bypass surgery (RYGB). Only 1 bariatric surgery patient underwent a gastric banding procedure and thus no comparisons for type of surgery were conducted.

Interventions and Clinical Follow-Up

This study is registered with clinical trials.gov and all procedures were approved by the appropriate Institutional Review Boards. All participants provided written informed consent prior to study involvement. The bariatric surgery participants completed a series of self-report instruments and a computerized cognitive test battery at baseline (within 30 days prior to surgery), 12 weeks (± 5 days), and 24 months (± 14 days) following surgery. The same

procedures were followed for the obese controls. Pre- and post medical records were reviewed by research staff to corroborate participant self-report and ascertain physician diagnosed comorbid medical condition status, including hypertension, diabetes, and sleep apnea.

Outcomes

The Integneuro cognitive test battery was chosen based upon previous work from our lab, demonstrating that Integneuro tasks of attention, executive function and verbal memory are sensitive to the cognitive difficulties manifested in this population [20,21]. This cognitive test battery consists of estimated premorbid intellectual abilities as well as performance in multiple cognitive domains (e.g., attention, executive Function, verbal memory) and can be completed in 45-60 minutes. Several benefits are associated with this cognitive test battery, including auditory and visual explanations, practice trials, semi-automated scoring, and language and non-language tests (Paul et al., 2005). Moreover, it has good psychometric properties and as described above, has been employed in past studies examining obesity and cognitive function [12]. The Integneuro battery has demonstrated strong convergent and divergent validity with commonly used paper and pencil measures of cognitive function [22] and also exhibits sensitivity to deficits in neurological populations, including Alzheimer's disease and vascular dementia [23]. Specific tests were categorized into attention, executive function, memory, and language domains and included:

Attention and Executive Function

<u>Digit Span:</u> This test assesses basic auditory attention and working memory. Participants are presented with a series of digits on the touch-screen, separated by a one-second interval. The subject is then immediately asked to enter the digits on a numeric keypad on the touch-screen.

Page 9 of 34 Obesity

Bariatric surgery and improved memory 9

The number of digits in each sequence is gradually increased from 3 to 9, with two sequences at each level. The participants complete these same procedures in a backward sequence. The dependent measure is total number correct forwards and backwards.

Switching of Attention: This test is a computerized adaptation of the Trail Making Test and consists of two parts [24]. Participants are asked to touch a series of 25 numbers in ascending order as quickly as possible. An array of 13 numbers (1-13) and 12 letters (A-L) is presented. Participants are asked to touch numbers and letters alternately in ascending order. The first part of this test assesses attention and psychomotor speed and the second part assesses executive function. The dependent measure is total time of completion.

<u>Verbal Interference:</u> This task taps the ability to inhibit automatic and irrelevant responses and has similarities to the Stroop Color Word Test [25]. Participants are presented with colored words one at a time. Below each colored word is a response pad with the four possible words displayed in black and in fixed format. In the first part, the subject is required to the name of each word as quickly as possible, assessing attention. In the second part, the subject is required to name to the color of each word as quickly as possible, assessing executive function. The dependent measure is total number of words correct.

Maze Task: This task is a computerized adaptation of the Austin Maze [26] and assesses executive function. Participants are presented with a grid (8x8 matrix) of circles and asked to identify the hidden path through the grid. Distinct auditory and visual cues are presented for correct and incorrect responses. The trial ends when the subject completed the maze twice without error or after 10 minutes has elapsed. Number of errors committed served as the dependent measure.

Memory

Obesity Page 10 of 34

Bariatric surgery and improved memory 10

<u>Verbal List-learning:</u> Participants are read a list of 12 words a total of 4 times and asked to recall as many words as possible following each trial. After a 20-minute filled delay, participants are again asked to recall target words. Finally, a recognition trial comprised of target words and foils is completed. Total learning, Long Delay Free Recall, and Recognition of these verbal list items were used to assess memory.

Language

Animal and Letter Fluency: This test asks individuals to generate words beginning with a given letter of the alphabet for 60 seconds. A different letter is used for each of the three trials. Finally, participants were then asked to generate as many animals as possible within 60 seconds.

Data Analyses

To facilitate clinical interpretation, all neuropsychological measures were transformed to T-scores (a distribution with a mean of 50 and standard deviation of 10) using existing normative data correcting for age, gender, and premorbid intelligence. Composite scores were computed for attention, executive function, memory, and language that consisted of the mean of the T-scores of neuropsychological measures within each cognitive domain. Consistent with clinical convention, a T-score \leq 35 (1.5 SD below the mean) was reflective of cognitive impairment.

Descriptive and frequency statistics were first conducted to examine cognitive test performance in bariatric surgery patients at baseline and at the 24-month post-operative follow-up. Chi-square analyses also compared rates of cognitive impairment at baseline and rates of cognitive decline from baseline to 24-months between bariatric surgery patients and obese controls. Repeated measures analysis of variance (ANOVA) was then performed to determine group differences between bariatric surgery patients and obese controls on attention, executive function, memory, and language over time (i.e., baseline, 12-weeks, and 24-months). For those

domains exhibiting a main effect, separate follow-up repeated measures ANOVA for both bariatric surgery patients and obese controls were performed to clarify the pattern of change for each group across each time point (i.e., baseline to 12-weeks, baseline to 24-months, and 12-weeks to 24-months).

Lastly, a series of hierarchical regression analyses were conducted to examine the predictive validity of BMI on cognitive function over time in the bariatric surgery patients. As a result of missing data at 24 months, 4 cases were listwise deleted from these analyses. Attention, executive function, language, and memory at 24-month follow-up each served as the dependent variable in four separate regression models. For all analyses, baseline BMI, BMI at 12-weeks, and baseline test performance were entered in block 1. BMI at 24 months was then entered in block 2 to determine whether decreased BMI over time predicted improved cognitive function in each domain. Finally, a separate series of regression analyses were performed to examine the predictive validity of medical comorbidites on cognitive function in each domain over time in the bariatric surgery patients. Specifically, baseline and 12-week diagnostic status of hypertension, diabetes, and sleep apnea and baseline cognitive test performance were entered in block 1 and 24-month diagnostic status of each comorbidity was then entered in block 2. For these analyses, 11 cases were excluded due to missing data for diagnostic medical comorbidity status at 24-months.

Results

Sample Demographic and Medical Characteristics

At baseline, the bariatric surgery patients and obese controls were generally similar in terms of demographics, though the bariatric surgery group had a higher BMI and were more

likely to have sleep apnea. At the 24-month follow-up, the bariatric surgery patients had lost a greater proportion of their body weight than the obese controls and were no more likely than the obese controls to have hypertension, diabetes, or sleep apnea. See Table 1.

Baseline and 24-month Post-Operative Cognitive Function in Bariatric Surgery Patients

At baseline, when compared to normative data, cognitive test performance in the bariatric surgery patients fell within the low average for memory and in the average range for all other cognitive domains. See Table 2. However, when using a T-score cutoff of 35 many bariatric surgery patients exhibited impairments in cognitive function at baseline. Impairments were commonly observed in memory (15.9%), executive function (7.9%), and language (7.9%). Impairments in attention were less common (4.8%). A similar pattern emerged for the obese controls with baseline impairments noted in memory (17.4%), executive function (13.0%), and language (4.3%). No baseline impairments in attention were observed.

At 24-month follow up, both bariatric surgery patients and obese controls test performance for memory and language was within the average ranges and high average range for attention and executive function. Examination of the cognitive domain composites revealed that no bariatric surgery patients exhibited a 1.5 SD post-operative cognitive decline for attention or executive function while 3.6% showed declines in memory and 1.2% for language. Obese controls did not demonstrate cognitive decline in attention, executive function, language, or memory (p > .05 for all).

Between Group Cognitive Test Differences for Bariatric Surgery Patients and Obese Controls

Repeated measures ANOVA examined between group differences for bariatric surgery patients and obese controls on each cognitive domain across the time points (baseline, 12-weeks,

and 24-months). See Table 3. For memory, repeated measures ANOVA showed a significant main effect for time (p < .01) and group X time interaction (p = .02). The group X time interaction demonstrated a significant quadratic effect (F(1, 84) = 5.64, p = .02), as bariatric surgery patients exhibited significant improvements in memory from baseline to 12-weeks, and from baseline to 24-months, but not from 12-weeks to 24-months post-operatively. No significant changes in memory were noted for the obese controls from baseline to 12-weeks, baseline to 24-months, or 12-weeks to 24-months. See Table 4 for a full summary of repeated measure ANOVA analyses.

For the attention domain, there was a significant effect for time (p < .001). Although there was no group by time interaction (p = .43), follow-up analyses revealed that bariatric surgery patients showed improved attention from baseline to 12-weeks, and to 24-months, and from 12-weeks to 24-month postoperatively. Obese controls also showed trends for improved attention over time: baseline to 12-weeks, baseline to 24-month, and 12-weeks to 24-months.

For executive function, there was also a significant main effect for time (p < .001), but there was no group X time interaction (p = .90). Both bariatric surgery patients and obese controls exhibited improvements in executive function from baseline to 12-weeks and to 24-months, but not from 12-weeks to 24-months. For language, there was no significant main effect for time (p = .14) or group X time interaction (p = .89).

Weight Loss and Cognitive Function in Bariatric Surgery Patients

After controlling for baseline test performance, baseline BMI, and BMI at 12-weeks, a lower BMI at 24-months demonstrated a trend with improved memory (p = .075). No such pattern emerged for attention, executive function, or language. See Table 5. Diagnostic status of

Obesity Page 14 of 34

Bariatric surgery and improved memory 14

hypertension, diabetes, or sleep apnea at 24-months did not predict cognitive function in any domain (p > .05 for all). Of note, there were no significant differences in the proportion of bariatric surgery patients with hypertension (χ^2 (1, N = 52) = 2.10, p = .15), diabetes (χ^2 (1, N = 53) = 1.46, p = .23), or sleep apnea (χ^2 (1, N = 53) = .03, p = .87), from baseline to 24-months.

Discussion

Consistent with past work, pre-operative impairments in cognitive function were common in this sample of bariatric surgical patients relative to normative standards. In contrast, performances in all domains of cognitive function were within the normatively average and high average range 24-months following surgery. Recent work shows that bariatric surgery is associated with cognitive benefits 12-weeks post-operatively compared to obese controls and the current study demonstrates that the effects of bariatric surgery on memory may be sustained at a 24-month follow-up. While such improvements were unrelated to change in comorbid medical condition status in this sample, there was a trend for post-operative decreases in BMI and better cognitive function. Several aspects of these findings warrant brief discussion.

Gunstad and colleagues (2011) [12] found improved memory at 12-weeks following bariatric surgery and the current study suggests that these improvements may be sustained at 24-months. Taken together, these findings continue to support the possibility that obesity-related cognitive dysfunction may be partly reversible. However, it is noted that the effect sizes for the differences in cognitive function between obese controls and bariatric surgery patients in this sample were small and larger studies are needed to help clarify the clinical significance of our findings. Interestingly, memory performance in the current sample of bariatric surgical candidates remained stable from 12-weeks to 24-months post-operatively. The impact of

bariatric surgery on cognitive function may occur the immediate months following surgical intervention, as this is the time of the most substantial weight loss and resolution of medical comorbidities that negatively affect cognitive function [27,28]. However, significant decreases in total cardiovascular disease disorders have also been observed up to 3 years post bariatric surgery and maximal excess weight loss has been shown to occur at 18-months post-operatively [18,27]. It is likely that cognitive benefits are sustained if weight regain is avoided or minimized, though future studies are needed to confirm this notion.

The prevalent baseline impairments in memory among the bariatric surgical patients and resulting post-operative improvement is noteworthy. Midlife obesity is a known independent risk factor for Alzheimer's disease [5,29]. Moreover, obesity has been linked with similar neuropathological changes found in Alzheimer's disease patients, including increased amyloid beta and amyloid beta precursor protein in the hippocampus [30]. The current sample of bariatric surgery patients demonstrated several baseline risk factors that place them at future risk for Alzheimer's disease, including high BMI, baseline impairments in memory, and comorbid vascular risk factors. However, the observed sustained improvements in memory at 24-months following surgical intervention suggest that it is possible that bariatric surgery may actually prevent pathological cognitive decline and even the development of dementia. For instance, a recent study showed that bariatric surgery was associated with reduced inflammation and expression of Alzheimer's disease related proteins (e.g., amyloid precursor protein) [31]. Prospective studies that use extended follow up periods (e.g., 15-20 years) are needed to help clarify whether bariatric surgery reduces risk of the development of neurological conditions associated with obesity, particularly Alzheimer's disease [5].

Obesity Page 16 of 34

Bariatric surgery and improved memory 16

No relationship emerged between post-operative change in status of comorbid medical conditions and improved cognitive function in this sample of bariatric patients. This is surprising in light of the adverse effects of medical comorbidities (e.g., diabetes, hypertension) on neurocognitive outcomes. The low rates of resolution in comorbid medical conditions may help to explain these findings. For instance, past work with similar post-operative follow up shows resolution rates post-bariatric surgery of 71% for diabetes, 33% hypertension, and 100% sleep apnea [32] compared to 21% for hypertension, 56% diabetes, and 46% for sleep apnea in this study. In addition, it is also possible that the comorbid medical conditions produced lasting irreversible effects on the brain (e.g., small vessel disease) that prevented improved cognition post-bariatric surgery. In contrast, the present study found a trend for decreased BMI and improvements in memory at 24-months. These findings highlight the possibility that improved post-operative neurocognitive outcomes may be a result of the effects of bariatric surgery on unique pathophysiological mechanisms associated with obesity. For instance, bariatric surgery has been shown to alter insulin resistance, circulating biomarkers (e.g., leptin, brain derived neurotrophic factor) and endothelial function [33-36]—all factors that have been linked with cognitive function. Other work suggests amyloid beta and inflammatory processes may play a key role [31]. Clearly, future work is much need to elucidate underlying mechanisms of the effects of obesity on cognitive function and the modifying impact of bariatric surgery on such processes.

Bariatric surgery patients in the current sample exhibited baseline impairments in all domains of cognitive function, including attention, executive function, memory, and language.

Pre-operative cognitive impairment may predict poor outcomes following surgery, as work from our group has shown that baseline cognitive function plays an important role in post-operative

weight loss [37]. These findings may involve the association between cognitive function and treatment adherence. To optimize weight loss, bariatric surgical patients are asked to adhere to complex post-operative treatment regimens such as self-monitoring, tracking physical activity levels and caloric intake, and managing multiple medications [38]. The simultaneous performance of these tasks is challenging and requires higher order cognitive processes (e.g., executive function) that are responsible for the ability to organize, plan, and monitor behavior [39]. Indeed, cognitive impairment has been shown to be a significant contributor to poor treatment adherence in other medical populations (e.g., diabetes) [40]. Pre-operative cognitive screening may provide key insight into long-term outcomes following surgery and future studies should examine this possibility, particularly as it involves post-operative treatment adherence.

Our findings are limited in several ways. First, the current study was observational and randomized control studies are needed to confirm our findings. Prospective studies that examine cognitive function at a more distant post-operative follow up (e.g., 36- and 48-months) would also help to further elucidate whether bariatric surgery can reduce risk of cognitive decline. In addition, the mechanisms of improved cognitive function following bariatric surgery remain unclear. Obesity has been linked with both functional and structural abnormalities and future studies should examine whether bariatric surgery also affects brain integrity and/or the biomarkers such as adipokines. The current study also found no significant relationship between change in comorbid medical condition status and improved cognitive function. The relatively small sample size and indirect assessment of medical comorbidities may account for these findings. Future studies that implement more direct assessments of medical status (e.g., blood pressure readings, continuous glucose monitoring) would help clarify mechanisms underlying improved cognition post-bariatric surgery. Although our findings suggest reduced adiposity may

Obesity Page 18 of 34

Bariatric surgery and improved memory 18

be a plausible explanation for improved cognition, the relatively small sample size of the current study limited the ability to test whether the trend between lower 24-month BMI and improved cognition was independent from the effects of the resolution of medical comorbidities (i.e., comorbid medical conditions were not included as covariates in these analyses). Likewise, the small sample size of the current study may have comprised the power of analyses and larger randomized controlled studies with equal number of controls are needed to replicate our findings. The homogeneity of the current sample (e.g., 90-95% female) also limits the generalizability of the findings and larger more diverse studies would help to increase the external validity of this study.

Several other limitations deserve further discussion. The current study recruited obese controls from weight control centers and these participants presented with fewer comorbid conditions (e.g., sleep apnea, hypertension), perhaps due to lower disease severity (e.g., lower BMI). Future studies that use tightly controlled experiments (e.g., treatment wait-list) are needed to examine cognitive function in bariatric surgery patients and bariatric surgery controls with similar medical history. Such studies should also examine the influence and efficacy of preoperative weight loss activities on post bariatric surgical outcomes, including cognitive function. Similar to this notion, the obese controls did not demonstrate a change in weight over two years and this may account for the lack of improvement in certain domains of cognitive function (e.g., memory) in this group. Future studies should compare the effects of surgical vs. behavioral weight loss interventions on cognitive function. For example, it is possible that the physiological benefits (e.g., cardiovascular fitness) resulting from adjusted health habits (e.g., exercise) or other confounding factors (e.g., medication status) following surgery may have also contributed to improved cognitive function in this sample. Finally, while it is possible that

practice effects and improved computer familiarity may have contributed to the improvements in memory over time, this is unlikely given the presence of a control group in addition to our findings replicating past work showing improved memory 12-weeks post bariatric surgery [12]. Future work should examine the aims of the current study using paper and pencil neuropsychological test batteries.

In brief summary, the current study suggests that bariatric surgery may have lasting effects on improved memory. The mechanisms for these effects may involve the impact of bariatric surgery on physiological processes associated with obesity. Larger and more diverse prospective studies with extended follow-up periods are needed to elucidate whether bariatric surgery prevents cognitive decline and decreases risk for the development of neurological conditions (e.g., Alzheimer's disease).



Conflict of Interests

The authors report no conflict of interest.



Acknowledgments

Author Contributions:

Study Concept and Design: MA, MBS, JG

Acquisition of Subjects: GS, MD, RP, RDC, JEM Data Analysis and Interpretation: MA, MBS, JG, RC

Manuscript Preparation: MA, MBS, GS, MD, RC, RP, RDC, JEM, JG



Data collection supported by DK075119. Manuscript supported in part by HL089311.

References

- 1. Levi J, Segal LM, Laurent R, Lang A, Rayburn J. F as in Fat: How obesity threatens Americas future. *Trust for Americas Health*, 2012.
- Ogden CL, Carroll MD, Kit BK, Flegal KM. Prevalence of obesity in the United States,
 2009-2010. Center for Disease Control and Prevention. National Center for Health
 Statistics.Incomplete, 2012.
- 3. Crandall CS. Prejudice against fat people: ideiology and self-interest. *J Pers Soc Psychol* 1994; **66**: 882-894.
- 4. Haslam DW, James WP. Obesity. Lancet 2005; **366**: 1197-1209.
- 5. Fitzpatrick A, Kuller LH, Lopez O, et al. Midlife and late-life obesity and the risk of dementia: cardiovascular health study. *Arch Neurol* 2009; **66**: 336–42.
- 6. Gunstad J, Paul RH, Cohen RA, et al. Relationship between body mass index and brain volume in healthy adults. *Int J Neurosci* 2008; **118**: 1582-1593.
- 7. Cournot M, Marqui JC, Ansiau D, et al. Relation between body mass index and cognitive function in healthy middle-aged men and women. *Neurology* 2006; **67**: 1208-1214.
- 8. Gunstad J, Lhotsky A, Wendell CR, Ferrucci L, Zonderman AB. Longitudinal examination of obesity and cognitive function: results from the Baltimore Longitudinal Study of Aging. *Neuroepidemiology* 2010; **34**: 222–29.
- 9. Masoomi H, Nguyen NT, Stamos MJ, Smith BR. Overview of outcomes of laparoscopic and open roux-en-Y gastric bypass in the United States. *Surg Technol Int* 2012; [epub ahead of print].
- 10. McLeod B, Beban G, Sanderson J, McKillop A, Jull A. Bariatric surgery makes a dramatic difference to health related quality of life. *N Z Med J* 2012; **125**: 46-52.

- 11. Belle SH, Berk PD, Courcoulas AP, et al. Safety and efficacy of bariatric surgery:

 Longitudinal Assessment of Bariatric Surgery. *Surg Obes Rel Dis* 2007; **3**: 116-26.
- 12. Gunstad J, Strain G, Devlin MJ, et al. Improved memory function 12 weeks after bariatric surgery. *Surg Obes Relat Dis* 2011; 7: 465-472.
- 13. Papademetriou V. Hypertension and cognition function: blood pressure regulation and cognitive function: a review of the literature. *Geriatrics* 2005; **60**: 20 –2.
- 14. Awad N, Gagnon M, Messier C. The relationship between impaired glucose tolerance, type 2 diabetes, and cognitive function. *J Clin Exp Neuropsychol* 2004; **26**: 1044–80.
- 15. Aloia M, Arnedt J, Davis J, et al. Neuropsychological sequelae of obstructive sleep apnea-hypopnea syndrome: a critical review. *J Int Neuropsychol Soc* 2004;**10**: 772–85.
- 16. Rogers M, Kasai K, Koji M, et al. Executive and prefrontal dysfunction in unipolar depression: a review of neuropsychological and imaging evidence. *Neurosci Rev* 2004; **50**: 1–11.
- 17. Gunstad J, Paul RH, Cohen RA, Tate DF, Spitznagel MB, Gordon E. Elevated body mass index is associated with executive dysfunction in otherwise healthy adults. *Compr Psychiatry* 2007; **48**: 57-61.
- 18. Noun R, Skaff J, Riachi E, Daher R, Antoun NA, Nasr M. One thousand consecutive mini-gastric bypass: short- and long-term outcome. *Obes Surg* 2012; **22**: 697-703.
- 19. Alexander M. Mild traumatic brain injury: Pathophysiology, natural history, and clinical management. *Neurol* 1999; **45**: 1253-60.
- 20. Paul RH, Lawrence J, Williams LM, Richard CC, Cooper N, Gordon E. Preliminary validity of "integneuro" a new computerized battery of neurocognitive tests. *Int J Neurosci* 2005; **115**: 1549-1567.

- 21. Williams LM, Simms E, Clark CR, Paul RH, Rowe D, Gordon E. The test-retest reliability of a standardized neurocognitive and neurophysiological test battery:
 - "neuromarker". Int J Neurosci 2005; 115: 1605-1630.
- 22. Paul RH, Lawrence J, Williams LM, Richard CC, Cooper N, Gordon E. Preliminary validity of "integneuro": a new computerized battery of neurocognitive tests. In J Neurosci 2005;**115**:1549-1567.
- 23. Tierney MC, Black SE, Szalai JP, Snow WG, Fisher RH, Nadon G, Chui H. Recognition memory and verbal fluency differentiate probable Alzheimer disease from subcortical ischemic vascular dementia. *Arch Neurol* 2001;**58:**1654-1659.
- 24. Reitan R. Validity of the Trail Making Test as an indicator of organic brain damage.

 *Percept Motor Skills 1958; 8: 271-6.
- 25. Golden C. Stroop color and word task: a manual for clinical and experimental uses.

 Wood Dale, Stoeling; 1978
- 26. Walsh K. *Understanding Brain Damage A Primer of Neuropsychological Evaluation*.

 Melbourne, Churchill Livingstone;1985.
- 27. Cremieus PY, Ledoux S, Clerici C, Cremieux F, Buessing M. The impact of bariatric surgery on comorbidities and medication use among obese patients. *Obes Surg* 2010; **20**: 861-870.
- 28. Fried M, Dolezalova K, Buchwald JN, McGlennon TW, Sramkova P, Ribaric G.
- Laparoscopic greater curvature plication (LGCP) for treatment of morbid obesity in a series of 244 patients. *Obes Surg* 2012; **22**: 1298-1307.
- 29. Hassing LB, Dahl AK, Thorvaldsson V, et al. Overweight in midlife and risk of dementia: a 40-year follow-up study. *Int J Obes* 2009; **33**: 893-98.

- 30. Mrak RE. Alzheimer-type neuropathological changes in morbidly obese elderly individuals. *Clin Neuropathol* 2009; **28**: 40-45.
- 31. Ghanim H, Monte SV, Sia CL, et al. Reduction in inflammation and the expression of amyloid precursor protein and other proteins related to Alzheimer's disease following gastric bypass surgery. *J Clin Endocrinol Metab* 2012; **97**: E1197-E1201.
- 32. Abu-Abeid S, Keidar A, Szold A. Resolution of chronic medical conditions after laparoscopic adjustable silicone gastric banding for the treatment of morbid obesity in the elderly. Surgical Endoscopy 2001;**15**:132-134.
- 33. Ballantyne GH, Farkas D, Laker S, Wasielewski A. Short-term changes in insulin resistance following weight loss surgery for morbid obesity: laparoscopic adjustable gastric banding versus laparoscopic Roux-en-Y gastric bypass. *Obes Surg* 2006; **16**: 1189 –97.
- 34. Beckman LM, Beckman TR, Earthman CP. Changes in gastrointestinal hormones and leptin after Roux-en-Y gastric bypass procedure: a review. *J Am Diet Assoc* 2010; **110**: 571–84.
- 35. Merhi ZO, Minkoff H, Lambert-Messerlian GM, Macura J, Feldman J, Seifer DB.

 Plasma brain-derived neurotrophic factor in women after bariatric surgery: a pilot study.

 Fertil Steril 2009; 91: 1544 48.
- 36. Sturm W, Tschoner A, Engl J, et al. Effect of bariatric surgery on both functional and structural measures of premature atherosclerosis. *Eur Heart J* 2009; **30**: 2038–43.
- 37. Spitznagel MB, Garcia S, Miller LA, et al. Cognitive function predicts weight loss after bariatric surgery. *Surg Obes Relat Dis* 2011; [epub ahead of print].

- 38. Elfhag K, Rossner S. Who succeeds in maintaining weight loss? A conceptual review of factors associated with weight loss maintenance and weight regain. *Obesity reviews* 2005; **6**: 67-85.
- 39. Lezak MD. Neuropsychological Assessment (4th ed.). New York, Oxford University Press; 2004.
- **40.** Stilley CS, Bender CM, Dunbar-Jacob J, Sereika S, Ryan CM. The impact of cognitive function on medication management: Three studies. *Health Psychol* 2010; **29**: 50-55.

Table 1. Demographic and Medical Characteristics

BASELINE	BARIATRIC SURGERY	OBESE CONTROLS	Test Statistic
CHARACTERISTICS	PATIENTS		
Age, mean (SD)	42.29 (11.42)	41.13 (12.55)	.40
Female (%)	90.5	95.7	.60
Body Mass Index, mean (SD)	46.52 (5.26)	40.90 (5.24)	4.35**
Hypertension (%)	44.4	21.7	3.43
Diabetes (%)	25.4	13.0	1.49
Sleep Apnea (%)	34.9	4.3	8.04**
24-MONTH FOLLOW-UP			
Body Mass Index, mean (SD)	31.34 (6.42)	40.90 (5.64)	-5.41**

D	1	. 1	,	~
Rariatric	surgery and	improved	memory	70
Duriunic	buigery and	mprovea	incinoi y a	

Hypertension (%) 34.9 34.8 .05

Diabetes (%) 11.1 26.1 3.64

Sleep Apnea (%) 19.0 4.3 .20

Note. Statistics were based on complete data for each time point

Table 2. Baseline, 12-Week, and 24-Month T-Score Neuropsychological Test Performance among Bariatric Surgery Patients

	D II	10 1	24
	Baseline	12-week	24-month
	M(SD)	M(SD)	M(SD)
Attention/Executive Function			
Digit Span Total	49.14(9.00)	50.73 (9.66)	54.92(12.93)
SOA-A	54.71 (13.59)	57.24 (15.04)	58.91 (13.60)
SOA-B	51.73 (16.83)	57.34 (13.69)	58.17 (13.09)
Verbal Interference-Word	52.92 (11.34)	54.01 (13.27)	58.65 (12.22)
Verbal Interference-Color Word	53.78 (11.49)	61.81 (12.86)	65.26 (11.50)
Maze Errors	49.93 (12.75)	56.15 (11.98)	52.30 (11.07)
Memory			

Total Recall	46.70 (14.02)	46.03 (15.37)	48.57 (15.10)
LDFR	46.61 (11.76)	49.35 (13.56)	49.92 (12.76)
Recognition	41.02 (11.19)	52.26 (11.03)	51.02 (9.05)
Language			
Animal Fluency	49.59 (11.16)	49.38 (10.43)	49.27 (11.96)
Letter Fluency	45.15 (11.44)	45.77 (10.24)	49.03 (11.47)

Note. Averages were based on complete data for each time point.

Abbreviations—SOA-A = Switching of Attention A; SOA-B = Switching of Attention B; LDFR = Long Delay Free Recall

Table 3. (Cognitive Function	for Bariatric Surgery	Patients and Obes	e Controls Over Time

	<u>Baseli</u>	<u>ne</u>	<u>12-we</u>	<u>eeks</u>	<u>24-mo</u>	<u>nths</u>	<u>Time</u>	Group X Time
	Surgery	Controls	Surgery	Controls	Surgery	Controls	(Λ, F)	(Λ, F)
Domain								_
Attention	52.26(8.02)	52.64(7.31)	53.99(9.21)	54.71(8.55)	57.45(9.76)	56.11(9.62)	.82, 9.32**	.98, .86
Executive	51.81(9.95)	50.64(11.85)	58.44(10.21)	57.95(9.03)	58.58(9.58)	57.51(10.59)	.54, 35.85**	.99, .10
Memory	43.45(10.57)	44.99(10.18)	49.21(10.78)	44.24(13.33)	49.83(10.38)	47.54(10.70)	.87, 6.50**	.91, 4.05*
Language	47.37(10.08)	49.19(8.99)	47.57(8.54)	49.88(8.76)	49.15(10.10)	50.75(7.65)	.95, 2.03	.99, .89

Note. **p* < .05; ***p* < .01



Table 4. Follow-up Analysis of Cognitive Function Over Time: Repeated Measure ANOVA Statistics

	Attention (1),	<u>F)</u>	Executive (Λ,	<u>F)</u>	Memory (Λ,	<u>F)</u>
	Surgery	Controls	Surgery	Controls	Surgery	Controls
BL-12wk	.93, 4.63*	.87, 3.24	.55, 51.83**	.52, 20.00**	.67, 31.06**	.99, 0.09
BL-24mon	.70, 26.27**	.86, 3.70	.53, 55.15**	.49, 23.18**	.71, 24.79**	.94, 1.46
12wk-24mon	.25, 20.77**	.96, 0.82	.99, .04	.99, 0.10	.99, 0.25	.86, 3.70

Note. *p < .05; **p < .01



Table 5. The effects of weight loss on cognitive function 24-months post-bariatric surgery patients

	Attention 24mon	ExecFunc. 24mon	Memory 24mon	Language 24mon	
Variable					
Block 1					
Baseline TestΨ	.71(.14)**	.69(.13)**	.59(.17)**	.70(.10)**	
Baselin BMI	.59(.47)*	25(.36)	.11(.60)	.37(.44)	
12-week BMI	74(.48)**	.05(.52)	26(.59)	32(.45)	
R^2	.53	.44	.36	.55	
F	15.65**	10.69**	7 .78**	16 .78	
Block 2					
24-Month BMI	.09(.24)	14(.25)	30(.27)***	.45(.46)	
R^2	.54	.45	.41	.56	
F for ΔR^2	.37	.78	3 .35	1.10	

Note. * $p \le .05$; **p < .01; ***p = .075

 Ψ = Corresponding baseline test performance for each cognitive domain

Abbreviations: Numerical values for variables in Block 1 and Block 2 are standardized regression coefficients and standard error; BMI = Body Mass Index; ExecFunc. 24mon = Executive Function at 24-months post-bariatric surgery